

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Mindaugas F. Dautartas and Dan A. Steinberg

EXAMINER: Unknown

GROUP ART UNIT: 2811

RECEIVED

SERIAL NO.: 10/066,299

AUG 29 2002

FILED: January 31, 2002

OFFICE OF PETITIONS

FOR: *Optoelectronic Submount Having an On-Edge Optoelectronic Device*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231 on:

By: *Deborah Celeste*  
Deborah Celeste

Date *August 19, 2002*

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Sir:

In response to the Notice to Filing Missing Parts of Non-Provisional Application, dated March 19, 2002 (a copy of which is enclosed), Applicants enclose an executed Declaration in compliance with 37 C.F.R. §1.63.

Authorization is given to charge our deposit account 500369 in the amount of \$1,988.00 to cover the cost of the declaration surcharge fee of \$130.00 in compliance with 37 CFR 1.16(l), a three month extension fee in the amount of \$920.00 in compliance with 37 CFR 1.136(a), filing fees in the amount of \$740.00 and additional claim fees in the amount of \$198.00.

Also enclosed is a Petition under Rule 37 CFR 1.47.

Respectfully submitted,

*Maria Eliseeva*  
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,299	01/31/2002	Mindaugas F. Dautartas	ACT-180

26086  
HALEOS, INC.  
3150 STATE STREET  
BLACKSBURG, VA 24060



CONFIRMATION NO. 2059  
FORMALITIES LETTER  
  
OC000000007665833

Date Mailed: 03/19/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$198.
  - \$198 for 11 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1068.

*A copy of this notice **MUST** be returned with the reply.*

*margaret*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE